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PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	YAMAP0802US
First Inventor	Cairns et al.
Title	ACTIVE MATRIX DEVICE AND...
Express Mail Label No.	EF232844304US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 34]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 20]
5. Oath or Declaration [Total Pages]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Cert. of Mailing & Letter Identifying Inventors.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label ☐ Correspondence address below

Name	Neil A. DuChez				
Address	Renner, Otto, Boisselle & Sklar				
	1621 Euclid Avenue, 19th Floor				
City	Cleveland	State	OH	Zip Code	44115
Country	USA	Telephone	216-621-1113	Fax	216-621-6165

Name (Print/Type)	Neil A. DuChez	Registration No. (Attorney/Agent)	26,725
Signature		Date	02/20/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date February 20, 2002, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EF232844304US addressed to: Box Patent Application, Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231.

Janet Farr

Typed or Printed Name of Person Mailing Paper



(Signature of Person Mailing Paper)

YAMAP0802US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Cairns et al. : Group Art Unit:  
Express Mail No.: EF232844304US : Examiner  
Filed: February 20, 2002 :  
For: ACTIVE MATRIX DEVICE AND DISPLAY :

LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The attached application is submitted herewith absent a Combined Declaration and Power of Attorney in accordance with 37 CFR §1.53(b) and (d). The inventors are as follows:

Graham Andrew Cairns  
22 Bourne Close, Cotteslowe  
Oxford OX2 8NH, U.K.

Catherine Rosinda Marie Armida Dachs  
12 Millbrook Square, Grove-Wantage  
Oxon OX12 7JZ, U.K.

Michael James Brownlow  
124 Church Road, Sandford on Thames  
Oxford OX4 4YB, U.K.

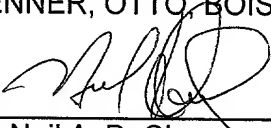
Yasuyoshi Kaise  
Raporutenri 640, 2613-1, Ichinomotocho  
Tenri-shi, Nara Japan

It is requested that the Patent Office direct all correspondence regarding this application to:

Neil A. DuChez  
Renner, Otto, Boisselle & Sklar, LLP  
1621 Euclid Avenue, Nineteenth Floor  
Cleveland, Ohio 44115

Respectfully submitted,  
RENNER, OTTO, BOISSELLE & SKLAR, LLP

By

  
\_\_\_\_\_  
Neil A. DuChez  
Reg. No. 26, 725

RENNER, OTTO, BOISSELLE & SKLAR, LLP  
1621 Euclid Avenue, Nineteenth Floor  
Cleveland, Ohio 44115  
Tel. (216) 596-1000  
Fax (216) 596-1001  
www.rosklr.com